



**TASA** | TRACE Anti-Bribery Specialist Accreditation

# Catalog

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# VISION

## Raising the Standard

The TRACE Anti-Bribery Specialist Accreditation (TASA) program was established in 2011 to further the TRACE mission of raising the standard of anti-bribery compliance worldwide. The program is built with a focus on providing the most comprehensive, high-quality and easily accessible anti-bribery education on the market. TASA is committed to building subject matter experts in the field of anti-bribery compliance and to preparing the next generation of compliance professionals to advance commercial transparency and lead compliance programs. TASA graduates add value to organizations that are serious about maintaining a culture of compliance as they are capable, confident and equipped to deal with a myriad of anti-bribery issues.

## An Overview of TASA

The TRACE Anti-Bribery Specialist Accreditation is a comprehensive and rigorous training and certification program that offers a professional credential for anti-bribery compliance specialists. TASA enables professionals working in this growing field to distinguish themselves with demonstrated expertise and credibility. The TASA accreditation program is the only certification available that is dedicated to anti-bribery compliance and offers over 100 courses taught by a world-renowned faculty. The accreditation is awarded by TRACE, the internationally respected anti-bribery standard setting organization.



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# TASA CORE COMPETENCIES

TASA integrates rigorous standards with a flexible learning environment. The course content is comprehensive and the examination is challenging. TASA seminars are delivered by a world-renowned faculty of legal, financial and compliance experts from across the globe. They provide candidates with invaluable insights that enable graduates to set themselves apart from colleagues as the anti-bribery domain expert. The program provides a core set of fundamental anti-bribery compliance courses along with a broad range of regional and industry specific topics that participants can select from to tailor their training and meet their specific interests and professional goals.

## ADMISSIONS

### The TASA Candidate

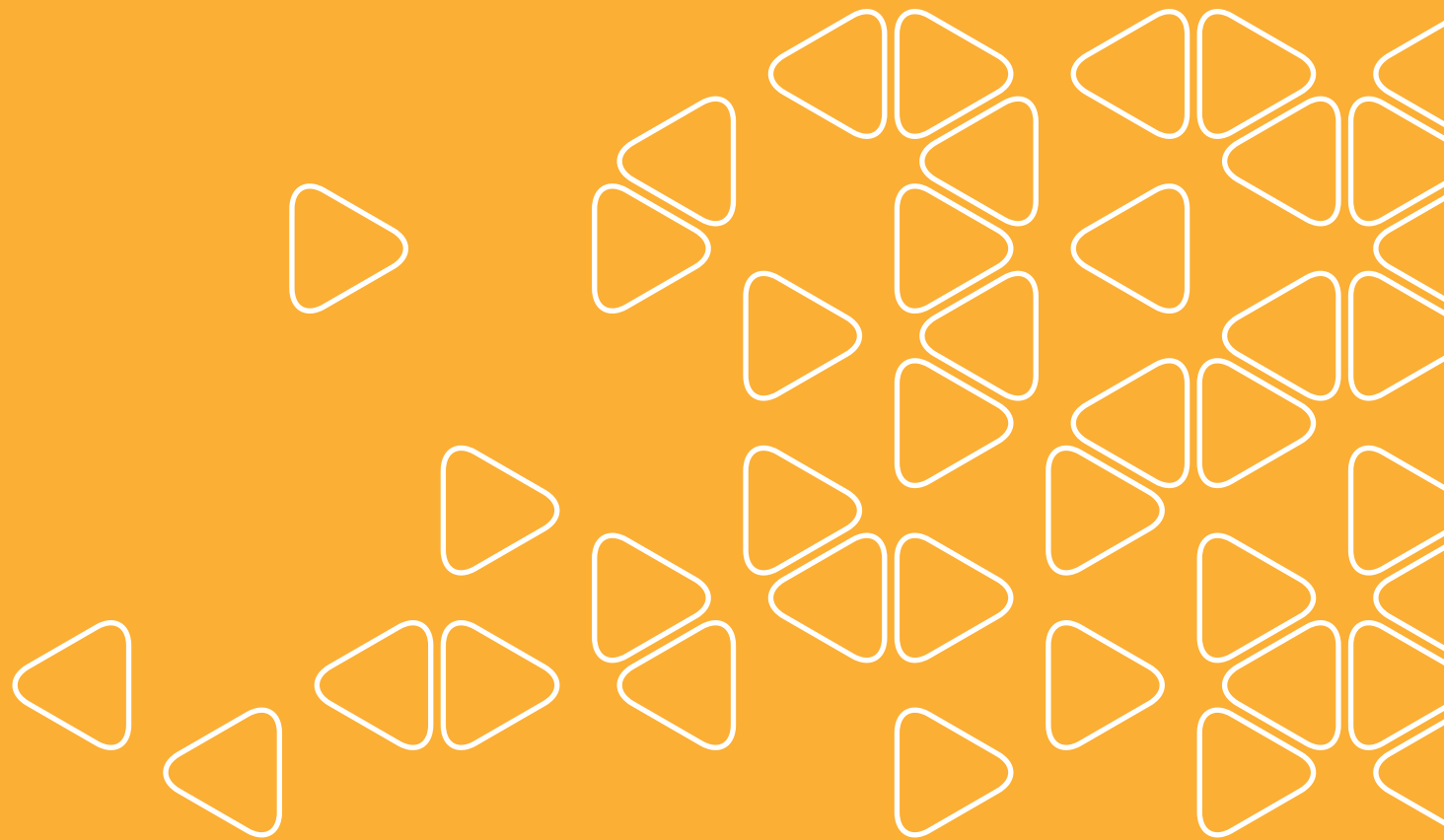
TASA is designed to enhance the skills of anti-bribery law or compliance professionals. This includes lawyers, compliance managers and administrators, accountants and financial specialists, as well as personnel in relevant government and industry organizations working with anti-bribery issues and concerns. TASA is also well-suited for candidates completing or enrolled in post-graduate programs who are interested in entering the field of anti-bribery law or compliance. Although no professional experience in anti-bribery law or compliance is required, some exposure, working experience, or training in anti-bribery compliance is preferred.

The accreditation is awarded by TRACE, the global leader in anti-bribery standard setting and shared-cost compliance solutions. Employees of TRACE member companies are eligible for a discount on TASA tuition. However, a candidate does not need to be affiliated with a TRACE member company to enroll in the program. The program is available to anyone who meets the qualification criteria for enrollment.

### Accreditation Seeking Candidates

TASA Candidates are professionals working or interested in working in the field of anti-bribery compliance. An outline of the registration process is available at [www.theTASA.org](http://www.theTASA.org) and listed below:

1. Submit the online registration form and pay the program fee.
2. Complete a series of application questions and provide a statement of your professional goals.
3. Upload photo identification and education credentials.
4. Submit three (3) completed professional reference forms.
5. Certify your agreement to abide by a code of conduct and terms of use.
6. Provide your consent to a background check.
7. Once enrolled, candidates select from the menu of online and in-person training options and begin earning credits.



## Non-Accreditation Seeking Candidates (Associate TASA Members)

This designation is assigned to candidates who wish to enroll in the TASA program but do not wish to take the accreditation exam. Non-accreditation seeking candidates share the same registration process as accreditation seeking candidates.

Once enrolled, candidates have one (1) year to take an unlimited number of online courses but do not earn credit.

## TUITION AND PAYMENTS

### Tuition

Inclusive of all TASA online and in-person programming for a one-year period, examination and accreditation:

- › \$1800 Government, Non-Profit, Full-Time Student, TRACE Member Company Employee
- › \$2200 Private Sector
- › \$500 Associate Member Option

*Associate Members are not eligible for accreditation. This membership option includes one (1) year of access to all TASA online courses.*

### Payments

Acceptable payment methods include check, credit card, wire transfer and ACH. Credit card payments can be made online at the time of registration or an invoice containing payment details will be issued to applicants. Registration is accessible at [www.theTASA.org](http://www.theTASA.org) under "Apply for Accreditation." Applicants may also contact the TASA Registration Office at 410.990.0076. Candidates are considered officially registered when their application has been approved and payment has been made. TASA Accreditation Certificates will be issued upon completion of the program.

# WAYS TO EARN CREDIT

Candidates have twelve (12) months to complete the program and pass the examination. TASA Candidates may earn credits in a variety of formats. Detailed information on course formats and instructional delivery methods can be found below.

## Online Courses

Recorded webinars provide candidates with the flexibility of taking courses at their convenience. Webinars offer the same content and learning outcomes as a live classroom setting and are followed by short quizzes to test comprehension. TASA can be fully completed online.

## Interactive Learning

Live webinars and video conferences are scheduled several times a year to provide an interactive learning environment and the opportunity to directly engage with instructors. Real-time lectures and presentations are broadcast through WebEx. All live courses are applicable toward the accreditation.

## Live Conferences

TRACE is an association partner of the American Conference Institute (ACI), a unique organization devoted to providing the business intelligence that senior decision-makers need to respond to challenges both here in the US, and around the world. TASA candidates can earn up to twelve (12) credits toward their TASA accreditation by attending approved ACI conferences around the globe. While conference registration fees are not covered by TASA tuition, TASA candidates receive a \$200 registration discount.

## TRACE Events and Regional Workshops

Throughout the year, TRACE hosts several anti-bribery conferences, workshops and roundtables both in the US, and around the world. All TRACE events are eligible for credits. In addition, TASA candidates who are representatives of TRACE member companies attend at no charge. TASA candidates may earn up to six (6) credits for attending a full two-day TRACE conference and up to three (3) credits for attending a TRACE Workshop or Roundtable. The schedule of upcoming TASA accredited conferences can be found on the TASA website ([www.theTASA.org](http://www.theTASA.org)).





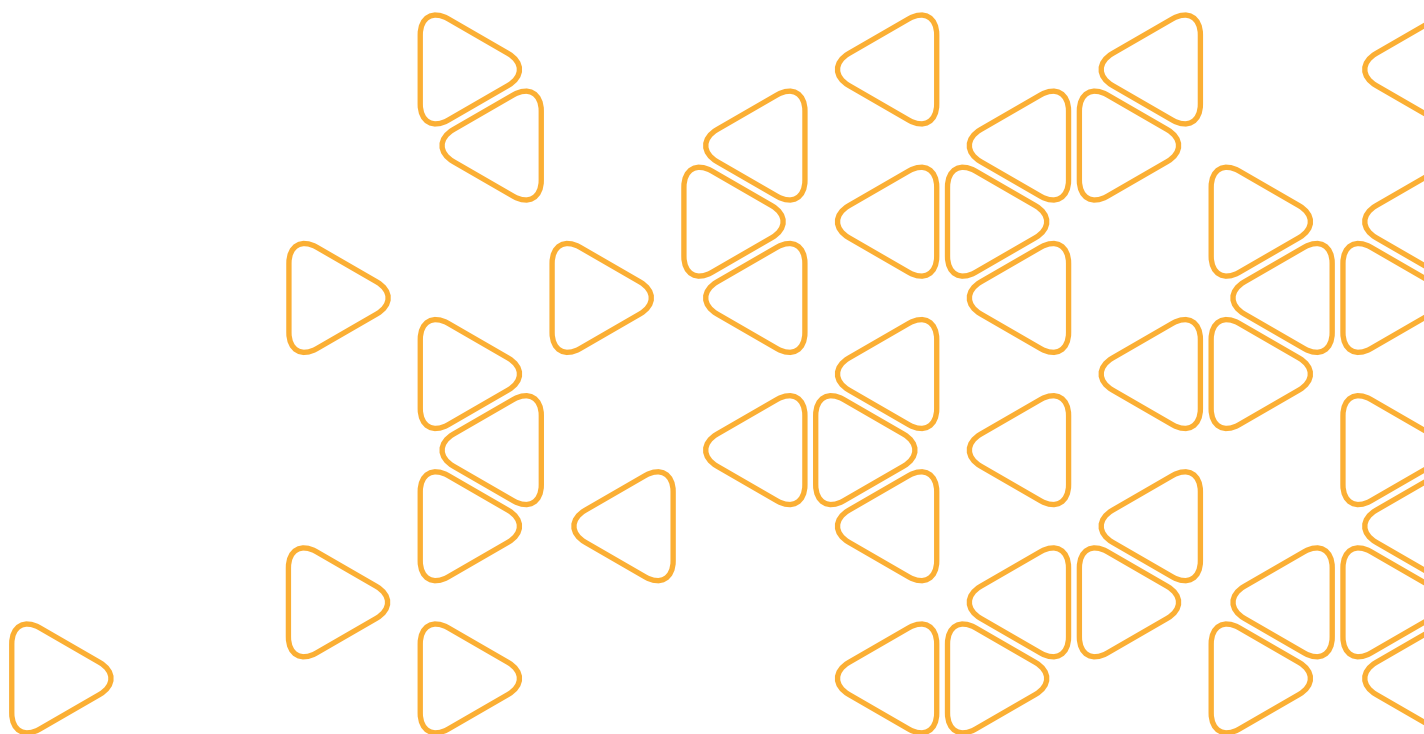
# TASA ACCREDITATION

## Requirements

The accreditation process requires that candidates complete thirty (30) hours of coursework along with passing the accreditation examination, which ensures candidates acquired the requisite knowledge. The requirements must be fulfilled within twelve (12) months of entering the program.

## Professional Experience Credits

Candidates with directly-relevant professional experience are eligible to receive one (1) qualifying credit for each year, up to a maximum of four (4) credits.



# TASA PROGRAM AREAS OF STUDY

TASA candidates range in experience; therefore, the curriculum is varied, providing candidates with two options for completion.

## Option 1: Experienced Compliance Professionals

This option is geared towards TASA candidates with a seasoned background in compliance. Candidates have the ability to choose courses in which to enroll, including TASA accredited conferences, to meet the thirty (30) credit-hour requirement. Advanced candidates with professional experience are encouraged to omit the seven (7) introductory-level Fundamental Courses from their program of study.

## Option 2: New Compliance Professionals

For candidates who are new to the field of anti-bribery compliance, TASA recommends a two (2) phase program structure. This two (2) phased structure provides a complete anti-bribery education by first covering the fundamentals, followed with a comprehensive overview across five (5) key areas of focus. The program will be structured as follows:

**Program Phase 1, Fundamentals: *Seven (7) course hours***

**Program Phase 2, Areas of Focus: *At least twenty-three (23) course hours, including TASA accredited conferences.***

*“Anyone wishing to enhance their understanding of anti-bribery compliance and attain a credible qualification in this field should look no further than the TRACE TASA programme.”*

Keith Mills – Compliance Support Officer – Mabey Bridge Ltd. – Chepstow, UK



**Program Phase 1:** Consists of seven (7) fundamental courses which candidates are encouraged to complete before moving on to intermediate and advanced courses. The fundamental courses offered are listed below:

**Introduction to the FCPA: the History, the Law and the Lore**  
*1 hour*

**Key Considerations in Anti-Corruption Investigations**  
*1 hour*

**FCPA Compliance in Action**  
*1 hour*

**Gifts and Hospitality in the Anti-Bribery Context**  
*1 hour*

**Anti-Bribery Books & Records – Background, Best Practices and Red Flags**  
*1 hour*

**Due Diligence on Third Parties: Rationale, Requirements and Red Flags**  
*1 hour*

**The Role of the Private Sector in Combating Institutionalized Corruption in Emerging Markets**  
*1 hour*

**Program Phase 2:** Consists of at least twenty-three (23) hours of focus area courses and TASA accredited conferences. In addition to completing the core set of fundamental courses, candidates are advised to dedicate three (3) to four (4) hours to each of the five (5) focus areas listed below, and the remaining course hours to a TASA accredited conference.

Candidates are eligible to retake the examination up to three (3) times to reach a passing level, if needed. Candidates will need a computer with a reliable internet connection to take the online exam.

**TASA Focus Areas:**

**Compliance Programs and Risk Management**

**Country/Region Specific**

**Industry-Specific**

**International Organizations & Civil Society**

**Investigations and Enforcement**

# TASA EXAMINATION

Candidates are eligible to take the TASA exam upon completion of the minimum of thirty (30) credit hours of training. The examination is an online test that gauges proficiency in anti-bribery compliance based on the course training and learning. The examination includes one hundred (100) multiple choice and true or false questions, some of which are scenario-based. In order to pass the exam and receive certification, candidates must answer at least 85% of the examination questions correctly. Candidates are eligible to retake the examination up to three (3) times to reach a passing level, if needed. Candidates will need a computer with a reliable internet connection to take the online exam. Once a student passes the exam, they will receive a certificate of completion and accreditation as an anti-bribery compliance specialist.

# CREDIT COURSES OF INSTRUCTION

TASA offers over one hundred (100) credit hours through training courses spanning a wide range of anti-bribery compliance fundamentals and topics such as corporate compliance programs, books and records provisions, third-party due diligence, law enforcement and international organizations. Various TASA courses are industry-specific, connecting the extractive industries, aerospace and defense, technology, retail, healthcare, financial services, and the international development community. Regions such as China, Russia, India, Brazil, Nigeria and Myanmar are focused on within additional TASA coursework. Currently the TASA program is delivered only in English but we anticipate enhancements to the program in the future to support additional languages. This section includes a list of the online courses offered through TASA's virtual campus. Most online courses are worth one (1) credit each. However, there are courses that are half (.5) credit hours.

## Compliance Programs and Risk Management Courses:

### **FCPA Compliance in Action\***

*Richard N. Dean, Partner, Baker & McKenzie LLP*

### **Gifts & Hospitality in the Anti-Bribery Context\***

*Margaret M. Ayres, Davis Polk & Wardwell LLP*

### **Anti-Bribery Books & Records – Background, Best Practices and Red Flags\***

*Matt Birk & Michele Cross, Deloitte Financial Advisory Services LLP*

### **Due Diligence on Third Parties: Rationale, Requirements and Red Flags\***

*Anne Takher, Director of Member Services, TRACE*

### **FCPA Financial Risk Assessment**

*Albert A. Vondra & Sulaksh R. Shah, PricewaterhouseCoopers LLP*

### **Conducting Anti-Bribery Compliance Due Diligence in the M&A Context**

*Kathryn Cameron Atkinson, Miller & Chevalier Chartered*

### **At the Crossroads of Anti-Bribery Compliance and International Trade Compliance**

*Benjamin R. Lindorf, Institute for Defense Analyses*

### **Managing the Anti-Bribery Risks Posed by Joint Ventures, including SOEs**

*Kevin T. Abikoff, Partner, Hughes Hubbard & Reed LLP*

### **Compliance with U.S. Lobbying and Government Ethics Regulations**

*Trevor Potter & Matthew Sanderson, Caplin & Drysdale*

\*Denotes the course is listed as one of the seven (7) Fundamental Courses provided.

**Anti-Corruption Due Diligence in M&A Deals in Emerging Markets**

*Sharie A. Brown, Partner at DLA Piper LLP*

**The Nexus of Bribery and Money Laundering: An Introduction to AML in the Anti-Corruption Context**

*Samuel W. Cantrell, Associate, Ballard Spahr LLP & Marcus Cohen, Counsel, Sandler, Travis & Rosenberg, P.A.*

**Due Diligence Run Amok: Perspectives on the Abuse of Preventative Compliance**

*Thomas Kolaja, Managing Director, Alvarez & Marsal*

**How to Conduct Effective and Engaging Training**

*Lyn Cameron, Senior Director, Financial Integrity Unit, Microsoft*

**How to Create and Manage a Sustainable Anti-Corruption Compliance Program for Your Latin America Operations**

*Rafael Mendes Gomes of Chediak Advogados, formerly Legal Vice President, General Counsel and Chief Ethics and Compliance Officer of Wal-Mart Brasil*

**Political and Charitable Donations: Pre-Donation Due Diligence and Effective Controls**

*Jay Martin, Vice President, Chief Compliance Officer and Senior Deputy General Counsel with Baker Hughes*

**Third Party Due Diligence and Evolving Paradigms in Supply Chain Compliance - Beyond Anti-Bribery Regulations**

*Alex Brackett, McGuireWoods LLP*

**Addressing the Challenges of Charitable Giving Under the FCPA**

*Thomas R. Fox, Principal, Tom Fox Law*

**Best Practices in Supply Chain Ethics**

*Robert W. Kuhn, President, Kuhn Associates Sustainability Advisors LLC*

**Contract Negotiation and Execution: Detecting and Responding to Bribery Risks**

*Tim Cummins, President/CEO, International Association for Contract and Commercial Management (IACCM)*

**What to do When a Company Receives a Dodd-Frank FCPA Whistleblower Letter: Key Considerations and Practical First Steps**

*Sharie A Brown, Partner, Troutman Sanders LLP*

**FCPA Year-In-Review: 2013's Top Five Compliance Takeaways**

*Martin Weinstein, Partner, Willkie Farr & Gallagher LLP*

**Third Party Anti-Bribery Compliance Audits: How to Draft and Enforce Audit Clauses**

*Sanjay Bhandari, Partner, Ballard Spahr LLP*

*“The TRACE TASA program is without a doubt the best training program for anti-bribery and [anti]-corruption training.”*

*Paul R. Allen, Jr. – Director, Internal Audit – Quintiles Transnational Corp. – Durham, NC*

## Country/Region-Specific Courses:

### **Anti-Bribery Enforcement and Compliance Challenges in Nigeria**

*Lawrence Fubara Anga, Partner, ALEX*

### **Anti-Bribery Enforcement and Compliance Challenges in France**

*Dominique Mondoloni, Partner, Willkie Farr & Gallagher LLP*

### **RCMP International Anti-Corruption Units - The Canadian Response**

*Lloyd Schoepp, Senior Investigator, International Anti-Corruption Unit, Royal Canadian Mounted Police*

### **After the Bribery Act – The Future of US/UK Anti-Corruption Enforcement**

*Hosted by Main Justice and Covington & Burling LLP*

### **Anti-Bribery Enforcement & Compliance Challenges in Canada**

*James M. Klotz, International Business Transactions Group, Miller Thomson LLP*

### **Anti-Bribery Compliance in Brazil: Strategies for Managing Risk and Implementing Robust Controls on the Local Level**

*Esther M. Flesch, Partner, Baker & McKenzie*

### **Domestic Bribery Enforcement in the United States**

*Brigham Q. Cannon, Associate with Kirkland & Ellis LLP*

### **Anti-Bribery Compliance Strategies for Multinationals Operating in China**

*Amy L. Sommers, Partner, K&L Gates LLP in Shanghai*

### **Anti-Bribery Enforcement and Compliance Challenges in Mexico**

*Roberto Torres Velasco, an Associate with SOLCARGO in Mexico City*

### **Anti-Bribery Enforcement and Compliance Challenges in India**

*Kelly S. Austin, Partner, Gibson, Dunn & Crutcher LLP, Hong Kong*

### **Anti-Bribery Enforcement and Compliance Challenges in Japan**

*Theodore A. Paradise, Partner, Davis Polk*

### **Anticorruption Laws and Compliance Challenges in Argentina**

*Guillermo Jorge of Guillermo Jorge y Asociados*

### **How to Minimize Exposure to Bribery Risks in Iraq and Afghanistan**

*Thomas W. Donovan, Managing Partner of Iraq Law Alliance, PLLC, Baghdad, Iraq*

### **Navigating the Evolving Regulatory Landscape in Myanmar to Address Anti-Bribery Challenges**

*Peter Coleman, Partner and Executive Director, Deloitte*

### **Anti-Bribery Compliance Strategies for Multinationals Operating in Kazakhstan**

*Diyas Assanov, Director of Compliance Department, Siemens Russia*

### **Addressing Anti-Bribery Risks in India and Navigating the Evolving Regulatory and Enforcement Landscape**

*Priyanka Sharma, Partner, Dua Associates*

### **The Anti-Bribery Landscape and Compliance Challenges in Indonesia**

*Richard Cornwallis, Senior Foreign Legal Consultant, Makarim & Taira S. (Indonesia)*

### **Anti-Bribery Compliance Strategies for Multinationals Operating in Venezuela**

*Rafael Diaz Oquendo, Partner, DPZ Abogados, S.C.*

### **China: 2014 Enforcement Outlook and the Impact of Landmark Cases on Compliance**

*Amy L. Sommers, Partner, K&L Gates LLP in Shanghai*

## **Focus on Africa: Minimizing Bribery and Corruption Risks in Nigeria, Angola, and Kenya**

*Reagan R. Demas, Partner, Baker & McKenzie LLP*

## **Brazil's New Anti-Corruption Law: What Companies Operating in Brazil Need to Know**

*Rafael Mendes Gomes of Chediak Advogados, formerly Legal Vice President, General Counsel and Chief Ethics and Compliance Officer of Wal-Mart Brasil*

## **Industry-Specific:**

### **Anti-Corruption Risk for Private Equity Firms Dealing with Sovereign Wealth Funds**

*Asheesh Goel, Partner, Ropes & Gray LLP, Lee Stein, Partner, Perkins Coie LLP*

### **Tough Compliance in Tough Places: Diligence in Development Assistance**

*Jason P. Matecheck, General Counsel, International Relief & Development (IRD)*

### **Overcoming Compliance Challenges in Customs and Freight Forwarding Operations**

*Reagan R. Demas, Partner, Baker & McKenzie LLP*

### **Anticorruption Challenges in the Oil and Gas Industry**

*William B. Jacobson, Chief Compliance Officer, Weatherford International*

### **Anti-Bribery Challenges in the Global Retail Sector**

*Kathleen Edmond, Chief Ethics Officer, Best Buy*

### **Anti-Bribery Challenges in the Financial Services Industry**

*Noreen M. Fierro, Vice President and Corporate Counsel for Prudential Financial*

### **Anti-Bribery Challenges in the International Health Care and Life Sciences Industries**

*Dr. Sofia Halfmann, Compliance Officer at Bayer Schering Pharma Aktiengesellschaft*

### **Anti-Bribery Challenges in the Aerospace & Defense Industry**

*Jannette Hasan, Senior Counsel International at Northrop Grumman Corporation*

### **Anti-Bribery Challenges in the Global Entertainment and Media Sector**

*Dianne Thomas, Vice President & International Compliance Leader, NBC Universal*

## **International Organizations and Civil Society:**

### **The OECD Working Group on Bribery in International Business Transactions**

*Dr. Mark Pieth, Professor of Criminal Law and Criminology, University of Basel; Chairman, OECD Working Group on Bribery in International Business Transactions; Chairman, Basel Institute on Governance; Member of the World Bank's Integrity Advisory Board, Switzerland*

### **World Bank Sanctions and Anti-Corruption Efforts**

*Pascale Hélène Dubois, Sanctions Evaluation and Suspension Officer, The World Bank*

### **Implementing the Global Compact 10th Principle against Corruption: from Learning and Dialogue to Collective Action and Disclosure**

*Georg Kell, The United Nations Global Compact*

### **Countering Bribery in Business – The Transparency International Suite of Tools**

*Susan Côté-Freeman, Programme Manager, Transparency International*

\*Denotes the course is listed as one of the seven (7) Fundamental Courses provided.

## **The Role of the Private Sector in Combating Institutionalized Corruption in Emerging Markets\***

*Aleksandr Shkolnikov, Center for International Private Enterprise*

## **The UN Oil for Food Investigation: The Price of Corruption and its Aftermath**

*Susan Ringler, Vice President, Chief Ethics and Compliance Officer, Alcoa, New York, NY*

## **Investigations and Enforcements:**

### **Introduction to the FCPA: the History, the Law and the Lore\***

*Mark F. Mendelsohn, Partner, Paul, Weiss, Rifkind, Wharton & Garrison LLP*

### **The Bribery Act 2010 – The SFO’s Approach & International Compliance**

*Richard Alderman, Director, Serious Fraud Office, SFO; Tom Stocker, Partner, Business Crime & Commercial Fraud, McGrigors, LLP; Alexandra Wrage, President, TRACE*

### **International Anti-Bribery Enforcement Trends**

*Richard L. Cassin, Creator and Principle writer of the FCPA Blog*

### **Conducting Effective Internal Investigations**

*Jeffrey D. Clark & Robert J. Meyer, Partners, Willkie Farr & Gallagher LLP*

### **Key Considerations in Anti-Corruption Investigations\***

*Richard W. Grime, Partner, O’Melveny & Myers LLP*

### **Corporate Compliance with World Bank Regulations: Investigations, Sanctions and Debarment**

*Lucinda A. Low, Partner, Steptoe & Johnson LLP*

### **Comparing Debarment Regimes Worldwide: Risks and Processes**

*Pascale Hélène Dubois, The World Bank; Professor Christopher R. Yukins, The George Washington University School of Law; Steven A. Shaw, the U.S. Air Force’s Suspending and Debarring Official*

### **Resolving the Inherent Conflicts between U.S. Investigations & European Data Privacy Laws**

*Hosted by Main Justice and FTI Technology*

### **Toxic Transactions: Bribery, Extortion and the High Price of Bad Business**

*Hosted by Alexandra Wrage, President of TRACE, this lively and highly informative anti-bribery training video is designed for employees at all levels.*

### **Forensic Accounting in the Audit and Investigative Contexts**

*Toby Duthie and Frances McLeod, partners with Forensic Risk Alliance*

### **The U.S. Securities and Exchange Commission: Enforcement Tools and Priorities**

*Linda Chatman Thomsen, Partner at Davis Polk & Wardwell LLP and formerly the Director of the SEC’s Division of Enforcement*

### **The Voluntary Disclosure Dilemma: Deciding When and How to Self-Report a Potential FCPA Violation to the DOJ and SEC**

*Gregory S. Bruch, Partner at Willkie Farr & Gallagher LLP*

### **The Shot Show Case: FCPA Lessons Learned from the Frontlines**

*Michael J. Madigan, Senior Counsel, White Collar & Corporate Investigations, Orrick, Herrington & Sutcliffe LLP*

### **Conducting Effective Cross-Border Investigations**

*Frederick Davis of Debevoise & Plimpton LLP*

\*Denotes the course is listed as one of the seven (7) Fundamental Courses provided.

# CREDITS AND HOURS

A credit hour is the unit by which academic work is measured. Each course is assigned a number of credit hours and these are specified in the section of this catalog titled Credit Courses of Instruction. A candidate enrolled in a TASA Accredited Conference can receive up to three (3) hours of instruction credit per day.

TASA virtual courses each include a short quiz at the conclusion of the webinar. Candidates must answer 80% or more correctly to receive credit for the course.

Candidates must answer 85% of the final exam questions correctly in order to pass the exam and receive their certification. Final letter grades for the TASA training program are not assigned.

# TASA ACCREDITATION

Once the TASA training program is completed and the examination is passed, candidates receive accreditation, which is valid for a one (1) year period from the date of accreditation. Eight (8) credit hours of online TASA content and/or in-person training must be earned each subsequent year to maintain an active certification. Renewal forms are accessible on TASA's website at [www.theTASA.org](http://www.theTASA.org). A \$500 fee is required for the annual re-certification process.

A candidate must complete a total of thirty (30) credit hours and pass the TASA examination within twelve (12) months of acceptance into the program. All fees must be paid in full prior to the accreditation being issued to the candidate.

A candidate may pay a \$200 extension fee for an additional three (3) months to complete the required 30 credits and receive a passing score on the final exam. Candidates may request an extension up to the last day of their 12-month program cycle.



# RESOURCES TO SUPPORT COURSE LEARNING

## The TRACE Compendium

Designed to provide its readers with a fully searchable, online database of all international anti-bribery enforcement activity, the TRACE Compendium ([www.TRACEinternational.org/Knowledge/Compendium.html](http://www.TRACEinternational.org/Knowledge/Compendium.html)) is an invaluable tool for TASA candidates both professionally and academically. The TRACE Compendium includes Foreign Corrupt Practices Act (FCPA) enforcement actions brought on by authorities outside of the United States.

## TRACE Blog

TASA candidates are encouraged to subscribe to the TRACE blog ([www.TRACEblog.org](http://www.TRACEblog.org)) to receive the latest news about developments in anti-bribery compliance and enforcement.

## TASA LinkedIn Group

TASA candidates are also encouraged to join the TASA LinkedIn group which enables candidates to interact with one another and stay informed of TASA program and course developments.

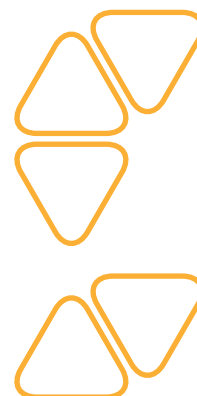
## Publications

*TRACE Global Enforcement Report (GER) 2012*  
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*How to Pay a Bribe: Thinking like a Criminal to Thwart Bribery Schemes*  
Edited by Alexandra Addison Wrage

*Bribery and Extortion: Undermining Business, Governments, and Security*  
Authored by Alexandra Addison Wrage

*Waging War on Corruption: Inside the Movement Fighting the Abuse of Power*  
Authored by Frank Vogl



# RESOURCES TO SUPPORT EXAMINATION

The exam questions are drawn from two (2) sources: the webinar courses and the publicly available materials listed below. Studying the webinar materials and the below resources should be sufficient preparation to pass the exam. The resources below offer a strong foundation of knowledge in the anti-bribery field.

## **U.S. Foreign Corrupt Practices Act (FCPA) Guide**

<http://www.justice.gov/criminal/fraud/fcpa/guide.pdf>

## **U.S. Foreign Corrupt Practices Act (FCPA) Statute and 15 Translations**

<http://www.justice.gov/criminal/fraud/fcpa/statutes/regulations.html>

## **U.S. Department of Justice's "Lay Person's Guide" to the FCPA**

<http://www.justice.gov/criminal/fraud/fcpa/docs/lay-persons-guide.pdf>

## **U.S. Department of Justice's Opinion Procedure Releases**

<http://www.justice.gov/criminal/fraud/fcpa/opinion/>

## **U.S. Federal Sentencing Guidelines**

[http://www.ussc.gov/Guidelines/2010\\_guidelines/Manual\\_HTML/8b2\\_1.htm](http://www.ussc.gov/Guidelines/2010_guidelines/Manual_HTML/8b2_1.htm)

## **UK Bribery Act**

<http://www.legislation.gov.uk/ukpga/2010/23/contents>

## **UK Bribery Act Guidance**

<http://www.justice.gov.uk/downloads/legislation/bribery-act-2010-guidance.pdf>

## **OECD Good Practice Guidance on Internal Controls, Ethics, and Compliance**

<http://www.oecd.org/dataoecd/5/51/44884389.pdf>

## **OECD Anti-Bribery Convention**

[http://www.oecd.org/departement/0,3355,en\\_2649\\_34859\\_1\\_1\\_1\\_1\\_1,00.html](http://www.oecd.org/departement/0,3355,en_2649_34859_1_1_1_1_1,00.html)

## **Transparency International**

<http://www.transparency.org/>

## **Transparency International Corruption Perceptions Index (CPI)**

<http://cpi.transparency.org/cpi2013/>

## **United Nations Convention against Corruption**

<http://www.unodc.org/unodc/en/treaties/CAC/index.html>

## **The World Bank Sanctions System**

<http://web.worldbank.org/WBSITE/EXTERNAL/EXTABOUTUS/ORGANIZATION/ORGUNITS/EXTOFFEVASUS/0,,menuPK:3601066~pagePK:64168427~piPK:64168435~theSitePK:3601046,00.html>

## **TRACE Due Diligence Guidebook**

<https://secure.traceinternational.org/data/public/The2010TRACEDueDiligenceGuidebook-65418-1.pdf>

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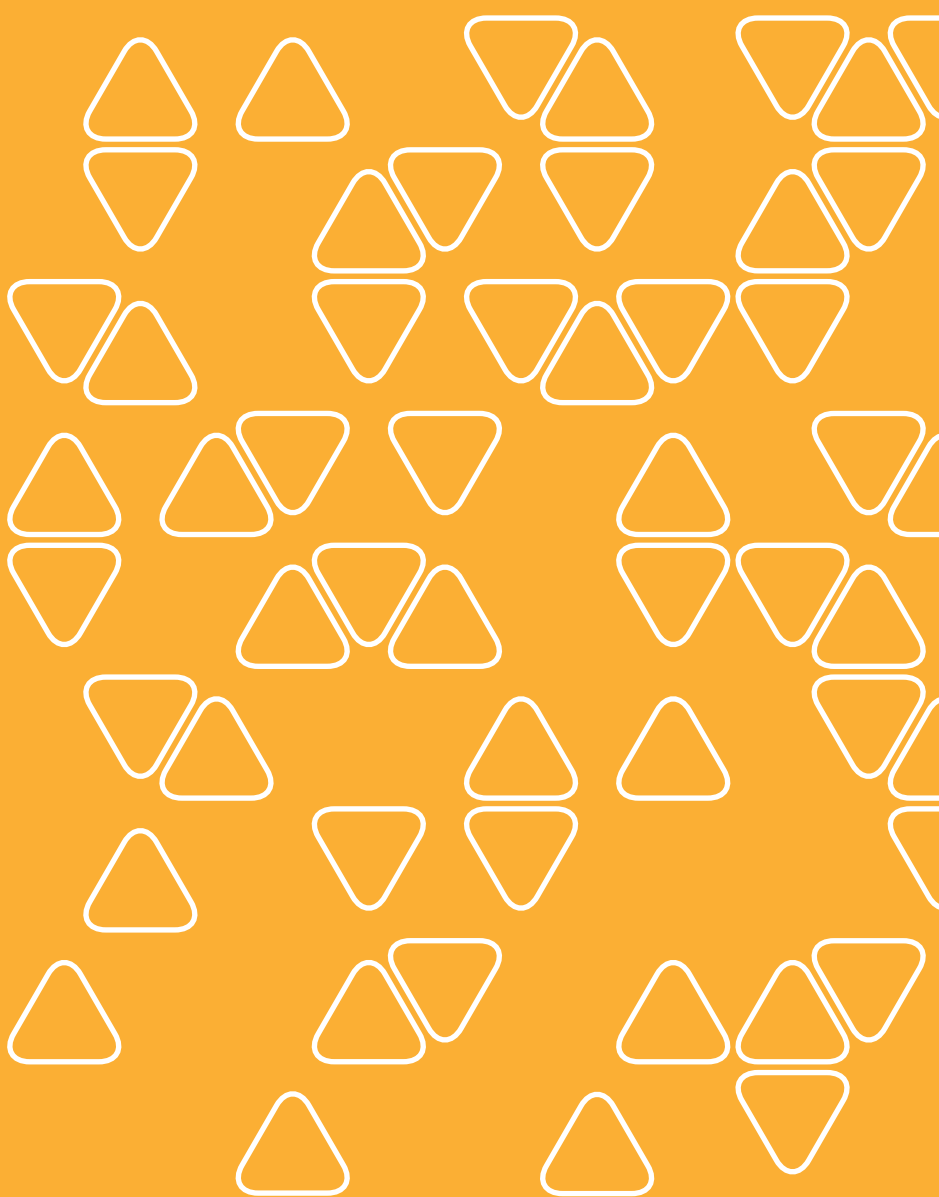
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